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February 15, 2002

Attorney Docket No.: 09857-092001

Box Patent Application
Commissioner for Patents
Washington, DC 20231

Presented for filing is a new continuation-in-part patent application of:

Applicant: STEVEN M. BLOOM, MICHAEL S. SPECTOR AND JOHN L. JACOBSON

Title: BALANCING ARBITRAGABLE TRACKING SECURITIES

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	Pages
Specification	12
Claims	3
Abstract	1
Declaration	[To be Filed at a Later Date]
Drawing(s)	4

Enclosures:
— Postcard.

This application is a continuation-in-part (and claims the benefit of priority under 35 USC 120) of U.S. application serial no. 10/001,900, filed November 14, 2001. The disclosure of the prior application is considered part of (and is incorporated by reference in) the disclosure of this application.

CERTIFICATE OF MAILING BY EXPRESS MAIL

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I hereby certify under 37 CFR §1 10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D C 20231.

Date of Deposit February 15, 2002

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Page 2

Basic filing fee	\$740
Total claims in excess of 20 times \$18	\$0
Independent claims in excess of 3 times \$84	\$0
Fee for multiple dependent claims	\$0
Total filing fee:	\$740

Under 37 CFR §1.53(f), no filing fee is being paid at this time.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

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Respectfully submitted,



Denis G. Maloney
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Enclosures
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